

Ref. No.: AUSFB/SEC/2019-20/331

Date: 8th November, 2019

To,

Listing Department National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	Department of Corporate Services, The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. BSE Scrip Code: 540611
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Dear Sir(s),

Sub: Intimation of allotment of Equity Shares pursuant to exercise of Employees Stock Options under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We hereby inform that the Bank has allotted **34,985** (Thirty Four Thousand Nine Hundred Eighty Five equity shares of face value of Rs. 10/- each) on 8th November, 2019 pursuant to exercise of equivalent number of Employee Stock Options under the following ESOP Schemes as per the applicable regulations:

Name of Scheme	No. of Equity Shares
ESOP Scheme 2015 Plan A	11,952
ESOP Scheme 2015 Plan B	21,615
ESOP Scheme 2016	1,418
Total	34,985

The paid-up share capital of the Bank has increased from Rs. 2,934,754,240 consisting of 293,475,424 equity shares having a face value of Rs. 10/- each to Rs. 2,935,104,090 consisting of 293,510,409 equity shares having a face value of Rs. 10/- each.

Kindly take above on your record.

Thanking You,
 Yours faithfully,
FOR AU SMALL FINANCE BANK LIMITED



Manmohan Parnami
Company Secretary & Compliance Officer

Registered Office

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 CIN: L36911RJ1996PLC011381
 Formerly known as **Au Financiers (India) Limited**